



PATENT
Atty Docket No.: 10004808-1

AF/2813

In The U.S. Patent and Trademark Office

In Re the Application of:

Inventor(s): Osamu S. Nakagawa **Confirmation No.:** 3635
Serial No.: 09/891,324 **Examiner:** Schillinger, Laura M.
Filed: June 27, 2001 **Group Art Unit:** 2813
Title: PROCESS FOR HIGH-DIELECTRIC CONSTANT METAL-INSULATOR
METAL CAPACITOR IN VLSI MULTI-LEVEL METALIZATION SYSTEMS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF HAND-DELIVERY WITH THE USPTO

I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office. This correspondence contains the following document(s):

1 sheet of Transmittal Letter for Response/Amendment. (2 copies).
12 sheets of Amendment Under 37 C.F.R. § 1.111.

Respectfully submitted,

MANNAVA & KANG

Timothy B. Kang
Reg. No.: 46,423

On May 27, 2003

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IN THE
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Osamu S. Nakagawa

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TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment () Petition to extend time to respond
() New fee as calculated below () Supplemental Declaration
(X) No additional fee (Address envelope to "Mail Stop Non-Fee Amendment")
() Other: _____ (fee \$ _____)

| CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY | | | | | | |
|--|--|------------------------|--|-------------------------|-------------|---------------------------|
| (1) FOR | (2) CLAIMS REMAINING AFTER AMENDMENT | (3) NUMBER EXTRA | (4) HIGHEST NUMBER PREVIOUSLY PAID FOR | (5) PRESENT EXTRA | (6) RATE | (7) ADDITIONAL FEES |
| TOTAL CLAIMS | 13 | MINUS | 20 | = 0 | X \$18 | \$ 0 |
| INDEP. CLAIMS | 1 | MINUS | 3 | = 0 | X \$84 | \$ 0 |
| [] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM | | | | | + \$280 | \$ 0 |
| EXTENSION FEE | 1ST MONTH \$110.00 | 2ND MONTH \$410.00 | 3RD MONTH \$930.00 | 4TH MONTH \$1450.00 | | \$ 0 |
| OTHER FEES | | | | | | \$ |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | | \$ 0 |

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit:

Typed Name:

Signature: _____

Respectfully submitted,

Osamu S. Nakagawa

By

Timothy B. Kang

Attorney/Agent for Applicant(s)

Reg. No. 46,423

Date: May 27, 2003